



DILIGENCEEXPRESS

ALLIANZGI STRUCTURED ALPHA 1000 PLUS LTD.

Form ADV Data - Private Fund

June 30, 2020



CASTLEHALL

The Due Diligence Company

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Management Company Details

Management company name	ALLIANZ GLOBAL INVESTORS U.S. LLC
SEC #	801-69803
CRD #	149003

Fund Details

SEC number	805-9983192438
Fund name	ALLIANZGI STRUCTURED ALPHA 1000 PLUS LTD.
Organized under	(Cayman Islands)
Qualifies under 3(c)(1)	No
Qualifies under 3(c)(7)	No
Fund is a master fund	No
Fund is a feeder fund	Yes
Fund is a fund of funds	No
Invested in securities registered under Invest Comp Act of 1940	No
Fund type	
Gross asset value	80.44 million

Corporate Governance

GP/Manager/Trustee/Directors (or similar)	ALLIANZ GLOBAL INVESTORS U.S. LLC
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Ownership

Minimum investment	1 million
Approximate number of private fund's beneficial owners	10
Percentage owned by firm/related persons	0
Percentage owned by FoF	0
Percentage owned by non-US persons	63

Advisory Services

Subadviser to fund	No
Other investment advisers advise this fund	No
Clients solicited	No
Percentage of clients invested in this fund	1

Private Offering

Exemption from registration of securities under Regulation D of Securities Act of 1933	Yes
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Audit

Financial Statements subject to annual audit						Yes
Auditing Firm	Audit Location	Independent Public Accountant	PCAOB Registered	PCAOB Assigned Number (2017)	PCAOB Inspected	
PRICEWATERHOUSECOOPERS LLP	NEW YORK (New York), United States	Yes	Yes	238	Yes	

ALLIANZGI STRUCTURED ALPHA 1000 PLUS LTD.

Prime Brokers

Fund uses one or more prime brokers					Yes
Prime Broker	Office Location	SEC Number	CRD Number	Custodian for Fund Assets	
BARCLAYS CAPITAL INC.	NEW YORK (New York), United States	8-41342	19714	Yes	
NEWEDGE USA, LLC	CHICAGO (Illinois), United States	8-47023	36118	Yes	
GOLDMAN SACHS & CO. LLC	NEW YORK (New York), United States	8-129	361	Yes	

Custodians

Fund uses one or more custodians						Yes
Custodian	Office Location	SEC Number	CRD Number	Legal Identifier Number (2017)	Related Person	
BARCLAYS CAPITAL INC.	NEW YORK (New York), United States	8-41342	19714		Yes	

Administrators

Fund uses one or more administrators				Yes	
Administrator	Office Location	Related Person	Prepares and Sends Investor Account Statements		
STATE STREET BANK AND TRUST COMPANY	BOSTON (), United States	No	None		
Percentage of fund's assets valued by a person that is not a related person				None	

Marketers

Fund uses one or more external marketers	No
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About Castle Hall

Castle Hall is a leading specialist in the due diligence of alternative and traditional asset managers. Castle Hall emphasizes independence and lack of conflicts of interest throughout the due diligence process. Unlike the traditional investment consulting model, we ensure full segregation of duties in the due diligence process: we do not provide front office investment recommendations or offer investment management services.

Castle Hall operates from offices in Montreal and Halifax in Canada; London in the UK; Sydney in Australia; Manila in the Philippines and Abu Dhabi, UAE. Castle Hall's core competitive advantage is DiligenceHub™, our proprietary

online diligence platform, which has helped our clients complete due diligence across several thousand funds and accounts.

Castle Hall is proud to have been named the Best Global Operational Due Diligence Firm for six consecutive years: 2014 - 2019 Alternative Investment Awards.

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